DATA PROTECTION & THE GDPR FOR SMEs

Advocate Davida Blackmore, Callington Chambers
Adrian Hayes, Office of the Information Commissioner
Alexia McClure, Jersey Business
Paul Byrne, LeanJsy
Topics

• How we got here
• Which law applies to you?
• What you need to do
• Key topics:
  – Marketing (inc. consent and legitimate interests)
  – Contracts between controllers & processors
  – Role as processor
  – The employment perspective
  – Privacy policies
  – Enforcement and breaches
• In practice
• Resources
Data protection law in Jersey

• Legislation in place since 1987
• Data Protection (Jersey) Law 2005
Change
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General data protection regulation ("GDPR")
Key changes

- Enhanced data subject rights 😊
- Enhanced consent
- Privacy by design (building it in)
- Controllers and processors
- Co-operation with regulator, notification of data protection breaches and administrative penalties
- Data Protection Officer (“DPO”)
GDPR and Jersey?

GDPR applies to:

• Activities of an establishment in the EU
  – wherever the processing actually takes place

• Processing of EU data subjects by an entity:
  – Offering goods or services within the EU; or
  – Monitoring behaviour within the EU
The States of Jersey also introduced two new laws which came into force on 25 May 2018
Article 45

Transfers on the basis of an adequacy decision

1. A transfer of personal data to a third country or an international organisation may take place where the Commission has decided that the third country, a territory or one or more specified sectors within that third country, or the international organisation in question ensures an adequate level of protection. Such a transfer shall not require any specific authorisation.

Which law applies to you?

- If you are not targeting/monitoring EU individuals, will likely only need to be concerned with Jersey law.
- If overlapping islands, may need to consider Guernsey law.
Preparation

• What?
• Where?
• Why?
• Who?
Basis for processing

- **Consent**: the individual has explicitly allowed you to process their personal data for a specific purpose.
- **Contract**: you need to process the data for the performance of a contract;
- **Vital interests**: you need to protect someone’s life.
- **Public functions**: it’s in the public interest or for your official functions, and you’ve got a legal basis
- **Legitimate interests**: it’s necessary for your legitimate interests or the legitimate interests of a third party.

[Article 9, Sched 2]
Principles

• There are 6 principles of good data handling:
  – lawfully, fairly and transparently;
  – for specified, explicit and legitimate purposes and once collected, not used in a different way;
  – adequate, relevant and limited to what you need;
  – accurate and up-to-date;
  – to keep it for no longer than is necessary;
  – secure using appropriate technical or organisation measures.
Marketing

1. Freely given
2. Specific
3. Informed
4. Clear affirmative action

“If we can’t easily explain to viewers what we’re doing with their data then we shouldn’t be doing it” -Steve Forde, ITV
Consent

1. Is consent the most lawful basis for processing?
2. Is the request for consent in an obvious place and separate from main terms & conditions?
3. No pre-ticked tick-boxes!
4. Is the language clear and easy to understand?
5. Why do you want it?
6. What are you going to do with it?
7. When you’ve got it, how can you show that you obtained the information lawfully?
8. I don’t consent anymore…
9. I’m a minor.
What should my consent look like?

• Name of organisation
• Name of any third parties relying on the consent
• Why you want the data
• What you’re going to do with it
• Let me give consent for different things
• Specifically state that can withdraw at any time
• Contact details!
Managing consent

• Review
• Refresh
• Tools
Legitimate interests

• Is it the most appropriate basis?

• Legitimate interests assessment
  – Identify the legitimate interest
  – Show that processing is necessary to achieve it
  – Balance it against the individual’s rights and freedoms.

• What is a legitimate interest?

• Need to put details in your privacy notice.

• Right to object is absolute.
Contracts with third parties

• If a controller uses a processor then you need a contract:
  – What and how long
  – Why
  – Types of data
  – Types of data subject
  – Obligations and rights of controller

• Must be in writing.
Contracts contd.

- Agreements must contain following:
  - Will only act on written instructions of controller
  - Will ensure that people working for you keep everything confidential
  - Will keep everything safe
  - Will only engage sub-processor with prior consent of controller and a written contract
  - Will assist controller with any subject access requests/when they need assistance generally
  - Will delete/return data to controller when requested at end of contract
  - Will make available all information to show compliance (including submitting to audits/inspections)

[Art.19(4) of the DPJL]
If you’re a Processor

• Register with the Authority (and pay £)
• Can’t use sub-processor without controller saying it’s ok
• Need to have make sure that keep things safe
• Keep records of processing activities. Doesn’t apply if fewer than 250 employees UNLESS the processing
  – is likely to result in a risk to the rights and freedoms of data subjects;
  – is not occasional; OR
  – includes special category data (health/race/biometrics etc)
• Confidentiality
• Tell controller without undue delay after becoming aware of a breach
• Appoint a data protection officer if required
• Don’t send data out of Jersey unless it’s safe/appropriate;
• Co-operate the Authority

[Part 4 of the JDPL Art.22]
Employment

• If you’re an employer:
  – Look at your privacy policy
  – Employee handbook
  – Contracts of employment

Stuart Franklin

Date  21 July 2017
Type  Prosecutions

Stuart Franklin has been prosecuted at Birmingham Magistrates’ Court for the offence of unlawfully disclosing personal data. The defendant, who at the time worked at a Walsall based domestic services company, emailed the CVs of 26 job applicants to a third party company without his employer, the data controller’s, consent.

Mr Franklin pleaded guilty to the offence under section 55 of the Data Protection Act, and was fined £573, ordered to pay £364 prosecution costs and a £57 victim surcharge.
Employment contd.

- Training (again)
- Employer obligations
  - Special category data
  - Security
  - Access
- DSARs
Privacy Policies

• What?
• Why?
  – Must make certain information available to data subjects (fair and transparent)
• How?
  – Just one document?
  – Set out approach to DP
  – Transparent (what, why, where and who)
  – Complaints/contact details
  – CLEAR LANGUAGE
Breaches
Enforcement

www.oicjersey.org
Penalties

• Two-tiers in the GDPR
  o 2% of global annual turnover (for undertakings) or €10m
  o 4% of global annual turnover for the preceding year (for undertakings) or €20m.
• Jersey (and Guernsey) Law:
  o Tier 1 = £5,000,000
  o Tier 2 = £10,000,000
  o BUT An administrative fine must not exceed £300,000 or 10% of the person’s total global annual turnover or total gross income in the preceding financial year, whichever is the higher.
Penalties

- Things the Authority thinks about:
  - How bad the breach was, how many individuals affected and the level of damage suffered by them;
  - Was it on purpose or by mistake;
  - Steps taken to deal with the breach;
  - The seniority of the person who committed the breach;
  - Previous breaches/issues;
  - How well the organisation cooperates with the Authority;
  - Types of data affected;
  - How Authority found out about it;
  - Any thing else +ve / -ve
Stop!
Penalties continued...

Nuisance calls -> DM Design Bathrooms -> £160,000

Spam emails -> Boost Finance -> £90,000

Loss of details -> Heathrow -> £120,000

Our company

Boost FINANCE
In practice
Resources

Data Protection (New Law)

The Data Protection (Jersey) Law 2018 and the Data Protection Authority (Jersey) Law 2018 came into effect on 25 May 2018. This page includes legislation, resources, infographics and useful links to other websites to assist organisations in working towards compliance. More information...

Please click on the sections below to view more:

Guidance for States Members
Guidance on Transitional Provisions
The Data Protection Principles
Key Definitions
Guidance for SMEs
Guidance on Breach Reporting
Duties of Data Controllers
Guidance on Registration of Controllers and Processors
Guidance on Sanctions
Guidance on Criminal Offences and Civil Remedies

Guidance

GDPR Briefing Paper
GDPR Next Steps Flyer
Infographic: 6 GDPR Myths
Infographic: 6 Essential Steps to GDPR
Infographic: 6 Initial Steps
Infographic: Becoming Compliant – 6 Steps
Infographic: 6 Things to Know About GDPR
Infographic: GDPR for SMEs
Infographic: GDPR for Start-ups
Infographic: What GDPR Means for HR
Infographic: 6 Things About GDPR and Privacy

Thank you & discussion

Advocate Davida Blackmore, Partner
davida.blackmore@callingtonchambers.com
01534 510250

Adrian Hayes, Manager - Enforcement
a.hayes@oicjersey.org
01534 716530

Alexia McClure, Head of Operations
Alexia.McClure@jerseybusiness.je
01534 610300

Paul Byrne, Director
p.byrne@lean-jsy.co.uk
01534 752982